

# Genetically Engineered Miniature Multiband Fractal Antennas

D. H. Werner and P. L. Werner  
The Pennsylvania State University  
Department of Electrical Engineering

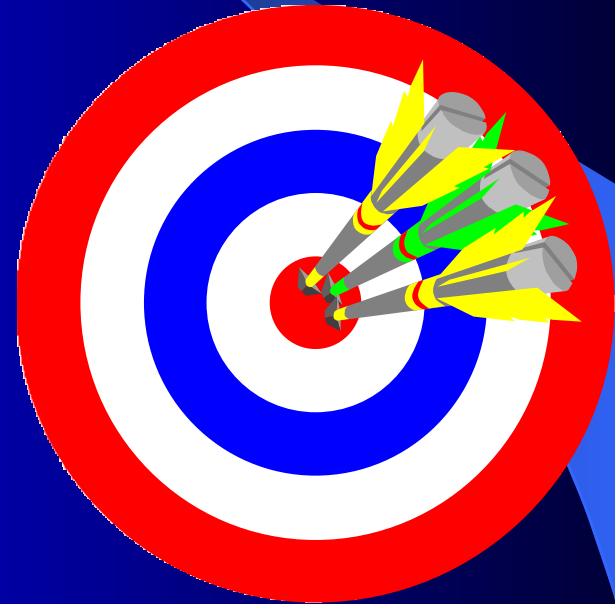
# Overview

- **A review of IFS/GA technique developed for optimization of miniature multi-band Fractal Element Antennas (FEA)**
- **A new challenge in optimizing FEA**
- **An alternative approach – Matching Network**
- **Combination of loads and matching network to meet the design objective**

# Goal

- **Goal is to produce antennas that are:**

- **Small**
- **Efficient**
- **Multi-band**



# Fractal Geometry Based on Iterated Function Systems (IFS)

- **Proposed by Michael Barnsley at the Georgia Institute of Technology as a method to generate fractal structures**
- **Iterated Function Systems are one type of an affine transformation**
  - **Translate**
  - **Rotate**
  - **Scale (scaling always results in shrinkage)**
- **Coordinates of a point are transformed to a new position**
- **When applied to geometric figures, the figure is translated, rotated and shrunk. However, the original shape is preserved.**

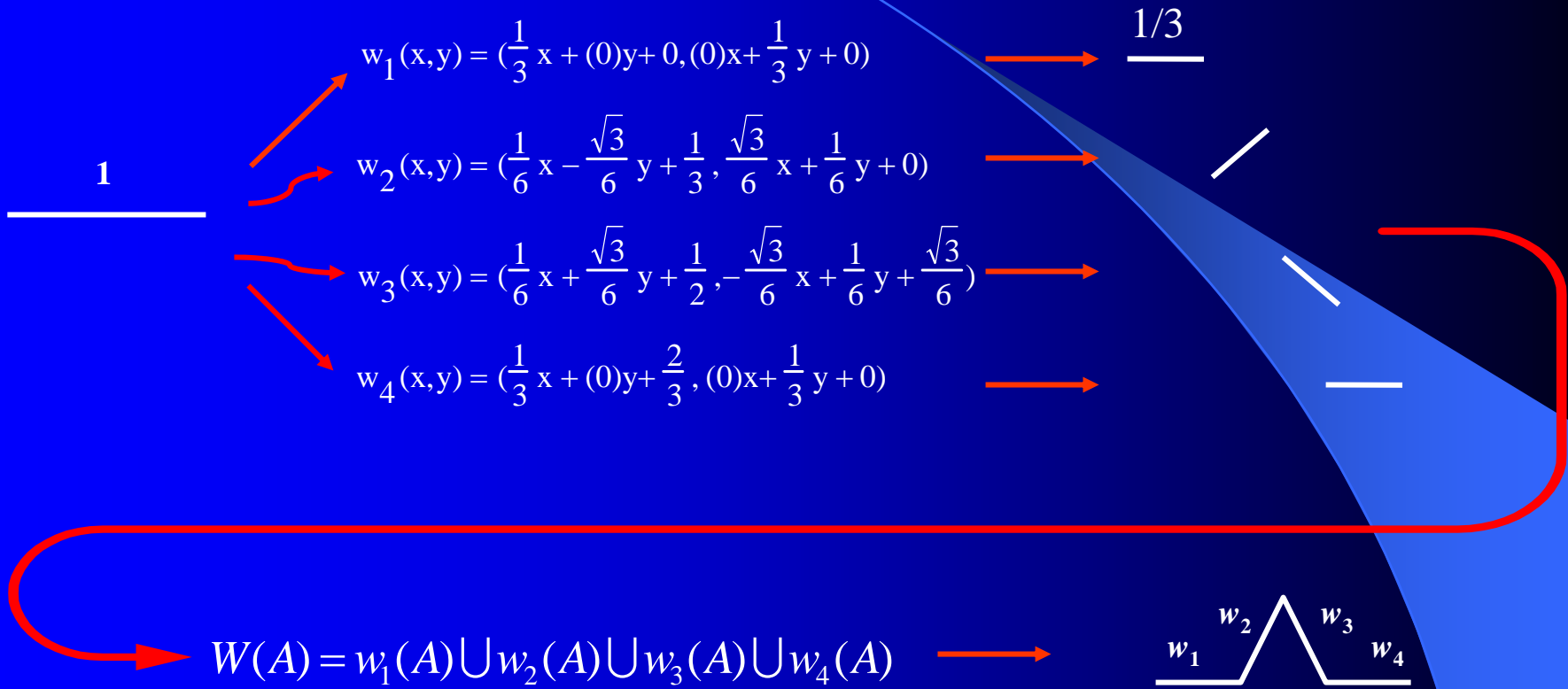
# Fractal Geometry Based on Iterated Function Systems (IFS)

- An affine transformation  $w$  is given by six numbers
  - $a, b, c$  and  $d$  perform rotation and scaling
  - $e$  and  $f$  perform linear translation

$$\left( \begin{array}{cc|c} a_n & b_n & e_n \\ c_n & d_n & f_n \end{array} \right)$$

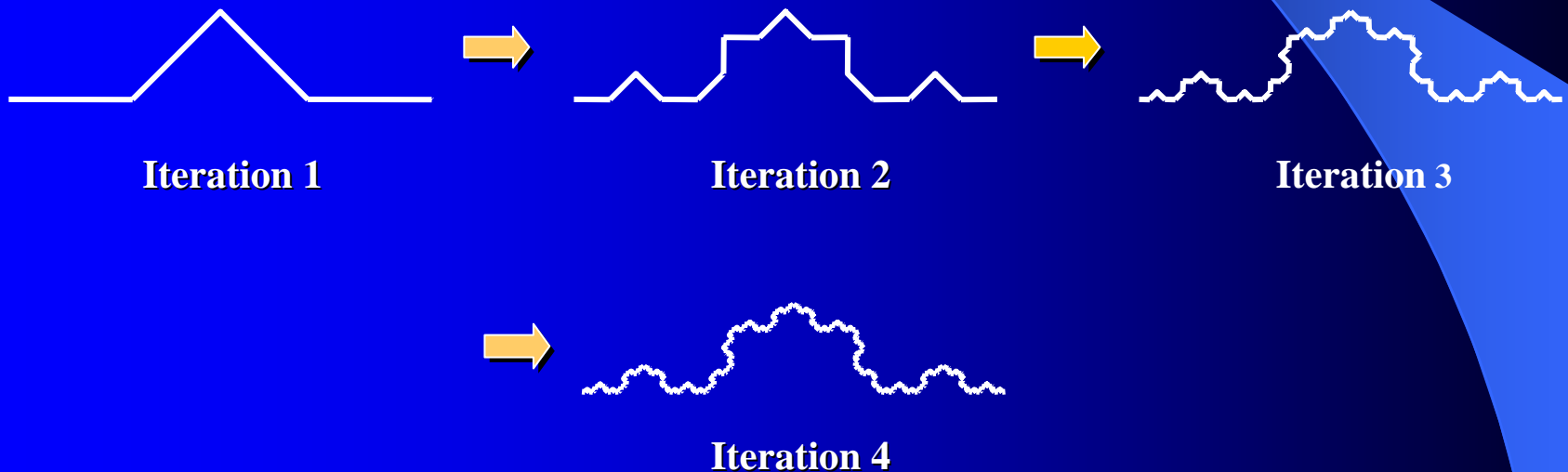
$$w_n(x, y) = (a_n x + b_n y + e_n, c_n x + d_n y + f_n)$$

# IFS Example - Koch Curve



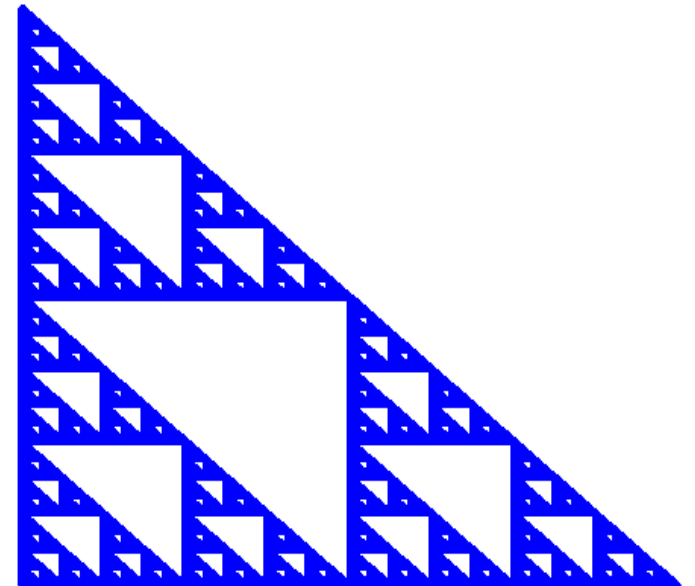
# IFS Example - Koch Curve

Transformation is applied for each iteration to achieve higher levels of fractalization



# IFS Example - Sierpinski Gasket Variation

| a     | b     | c     | d     | e     | f     |
|-------|-------|-------|-------|-------|-------|
| 0.500 | 0.000 | 0.000 | 0.500 | 0.000 | 0.000 |
| 0.500 | 0.000 | 0.000 | 0.500 | 0.500 | 0.000 |
| 0.500 | 0.000 | 0.000 | 0.500 | 0.000 | 0.500 |





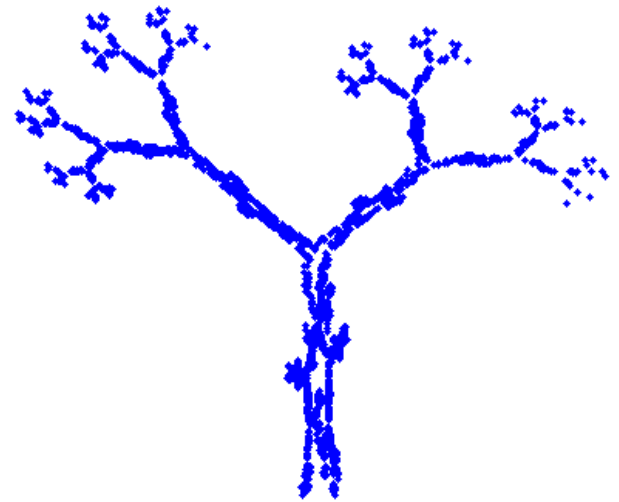
# IFS Example - Fractal Crystal

| a     | b      | c     | d     | e      | f       |
|-------|--------|-------|-------|--------|---------|
| 0.255 | 0.0    | 0.0   | 0.255 | 0.3726 | 0.6714  |
| 0.255 | 0.0    | 0.0   | 0.255 | 0.1146 | 0.2232  |
| 0.255 | 0.0    | 0.0   | 0.255 | 0.6306 | 0.2232  |
| 0.370 | -0.642 | 0.642 | 0.370 | 0.6356 | -0.0061 |



# IFS Example - Fractal Tree

| a      | b      | c      | d      | e      | f      |
|--------|--------|--------|--------|--------|--------|
| 0.195  | -0.488 | 0.344  | 0.443  | 0.4431 | 0.2452 |
| 0.462  | 0.414  | -0.252 | 0.361  | 0.2511 | 0.5692 |
| -0.058 | -0.07  | 0.453  | -0.111 | 0.5976 | 0.0969 |
| -0.035 | 0.07   | -0.469 | -0.022 | 0.4884 | 0.5069 |
| -0.637 | 0.0    | 0.0    | 0.501  | 0.8562 | 0.2513 |



# Genetic Algorithms



- Genetic Algorithms are an optimization procedure based on the mechanics of natural selection (i.e., survival of the fittest) and genetics
  - Children inherit traits from their parents and, as a result, resemble them in some fashion. This is achieved in GA via crossover and mutation operators.
  - Survival is based on the fitness of the individual, so that as time progresses there is an evolution in the genetic composition of individuals
  - **The Genetic Algorithm can be viewed as a method for distilling good traits from a population of individuals, and recombining them to achieve a goal**
- Effective when finding a global minimum in a high-dimension, multimodal function domain



# FEA Optimization

The Genetic Algorithm (GA) program is used in conjunction with an Iterated Function System (IFS) fractal geometry-generating subroutine and a Method of Moments (MoM) code to optimize the radiation characteristics of an antenna (e.g. VSWR, Gain, etc.) for the frequencies of interest.



# Genetic Algorithm Fractal Design

The following parameters are simultaneously being selected by the GA

- The geometry of the antenna ( $a_n, b_n, c_n, d_n, e_n$  and  $f_n$ )
- The load component values ( $Ls$  and  $Cs$ )
- The load locations

$$w_1(x,y) = (a_1x + b_1y + e_1, c_1x + d_1y + f_1)$$

$$w_2(x,y) = (a_2x + b_2y + e_2, c_2x + d_2y + f_2)$$

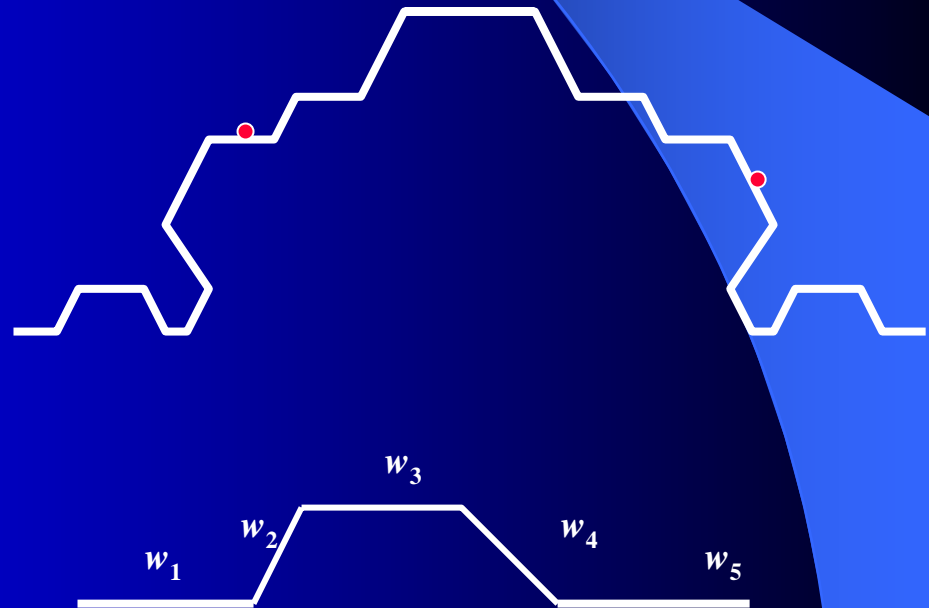
$$w_3(x,y) = (a_3x + b_3y + e_3, c_3x + d_3y + f_3)$$

$$w_4(x,y) = (a_4x + b_4y + e_4, c_4x + d_4y + f_4)$$

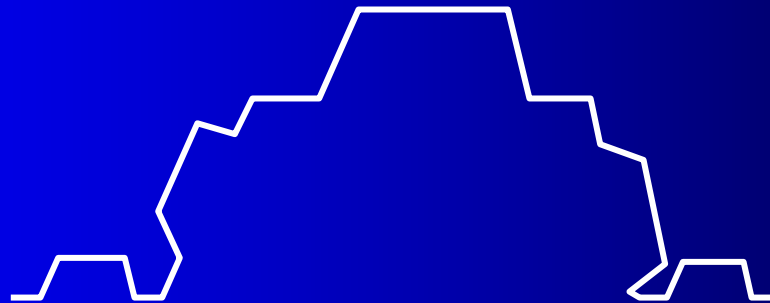
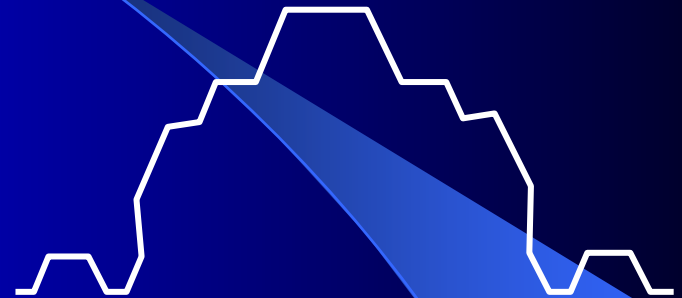
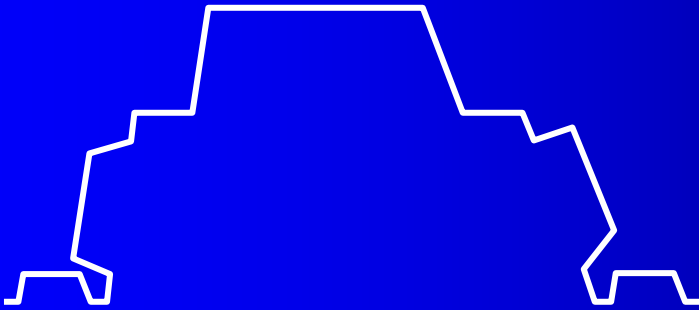
$$w_5(x,y) = (a_5x + b_5y + e_5, c_5x + d_5y + f_5)$$

$$W(x,y) = w_1(x,y) \cup w_2(x,y) \cup w_3(x,y) \cup w_4(x,y) \cup w_5(x,y) \text{ and}$$

Where  $a_n, b_n, c_n, d_n, e_n$  and  $f_n$  are the parameters to be selected by the GA.



# Some Examples of Genetically Engineered Fractal Antennas



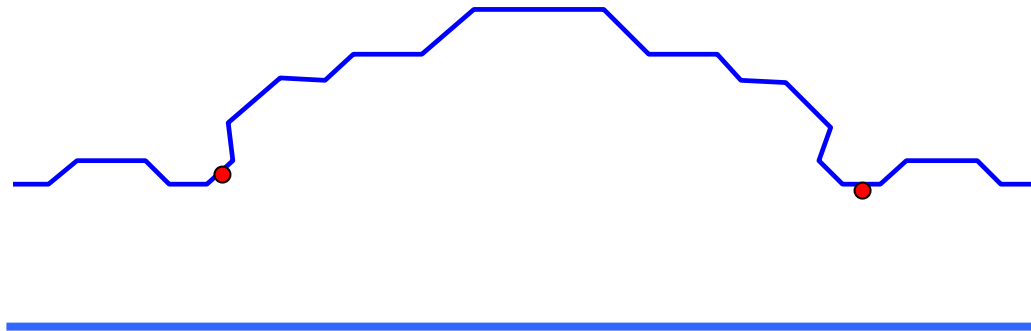
# Miniaturizing A Dipole Antenna

**Length of Antenna = 12 cm**

**Load Locations : Load1 Element 21 L1 = 15.81250 nH C1 = 0.4849 pF**

**Load2 Element 06 L2 = 17.98438 nH C2 = 0.7996 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.3383</b> |
| <b>1575 MHz</b>  | <b>1.2872</b> |



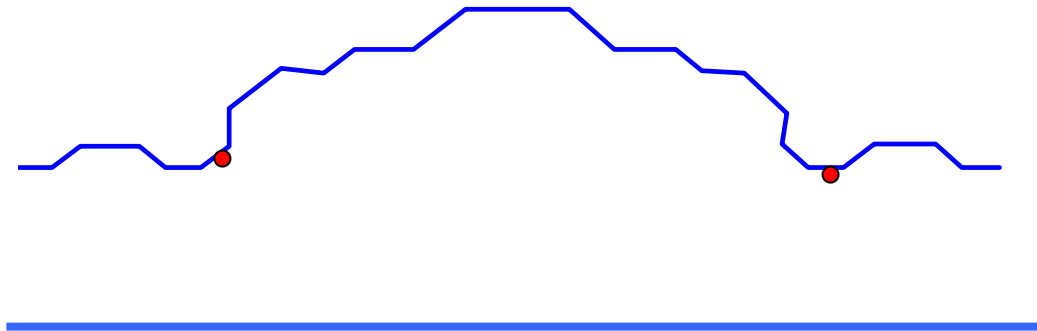


**Length of Antenna = 11.5 cm**

**Load Locations : Load1 Element 21 L1 = 15.53125 nH C1 = 0.5376 pF**

**Load2 Element 06 L2 = 17.95312 nH C2 = 0.8453 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.2649</b> |
| <b>1575 MHz</b>  | <b>1.2266</b> |

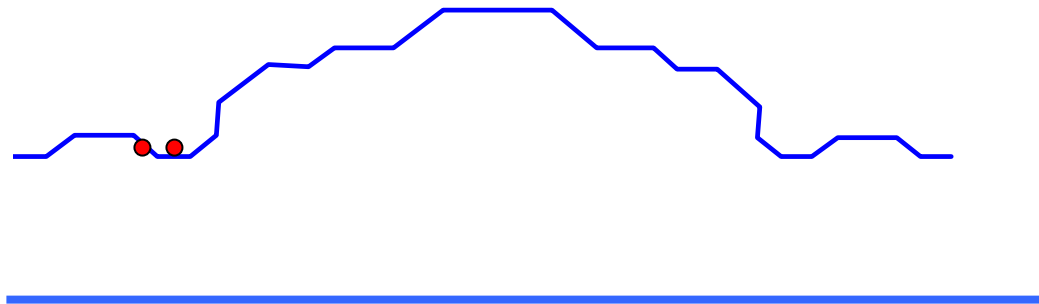


**Length of Antenna = 11.0 cm**

**Load Locations : Load1 Element 05 L1 = 17.26562 nH C1 = 0.4708 pF**

**Load2 Element 04 L2 = 17.89062 nH C2 = 0.9648 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.0738</b> |
| <b>1575 MHz</b>  | <b>1.3285</b> |

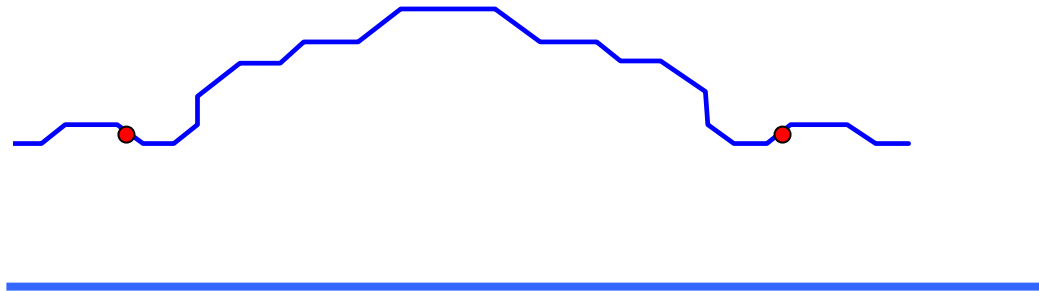


**Length of Antenna = 10.5 cm**

**Load Locations : Load1 Element 22 L1 = 13.93750 nH C1 = 0.6414 pF**

**Load2 Element 04 L2 = 18.92188 nH C2 = 0.9050 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.1249</b> |
| <b>1575 MHz</b>  | <b>1.1205</b> |

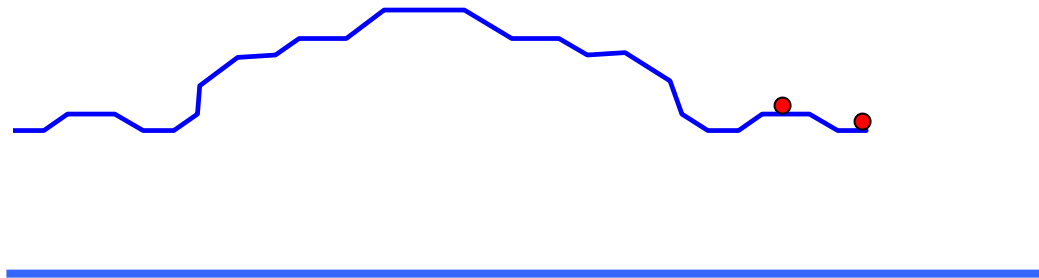


**Length of Antenna = 10.0 cm**

**Load Locations : Load1 Element 25 L1 = 15.98438 nH C1 = 0.5746 pF**

**Load2 Element 23 L2 = 13.39062 nH C2 = 0.6712 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.1884</b> |
| <b>1575 MHz</b>  | <b>1.1103</b> |

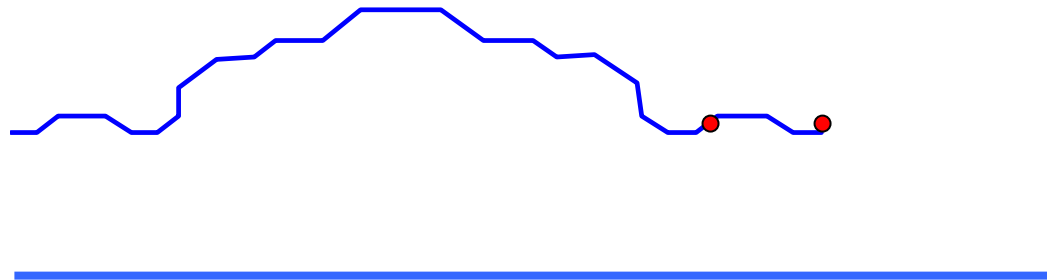


**Length of Antenna = 9.5 cm**

**Load Locations : Load1 Element 22 L1 = 13.48438 nH C1 = 0.6941 pF**

**Load2 Element 25 L2 = 12.09375 nH C2 = 0.1509 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.0386</b> |
| <b>1575 MHz</b>  | <b>1.1186</b> |

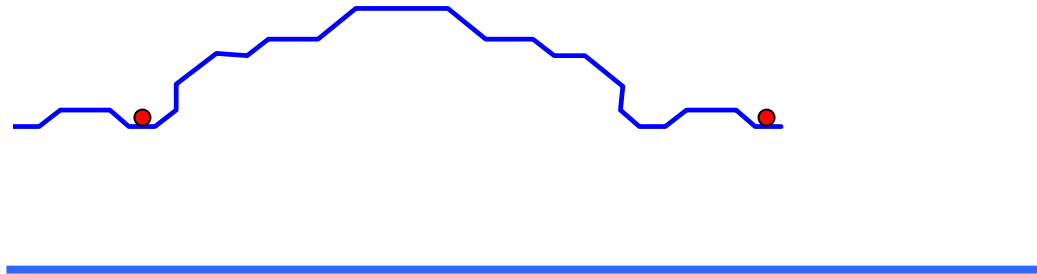


**Length of Antenna = 9.0 cm**

**Load Locations : Load1 Element 25 L1 = 12.04688 nH C1 = 0.3302 pF**

**Load2 Element 05 L2 = 15.43750 nH C2 = 0.6642 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.0392</b> |
| <b>1575 MHz</b>  | <b>1.1430</b> |

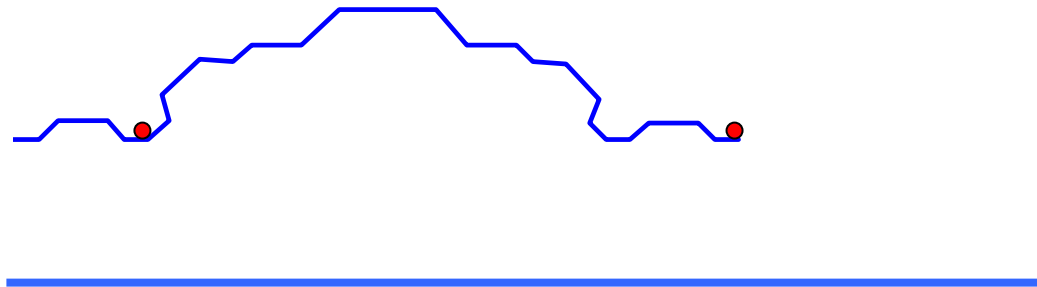


**Length of Antenna = 8.5 cm**

**Load Locations : Load1 Element 25 L1 = 18.79688 nH C1 = 0.5570 pF**

**Load2 Element 09 L2 = 15.43750 nH C2 = 0.6853 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.1235</b> |
| <b>1575 MHz</b>  | <b>1.0224</b> |

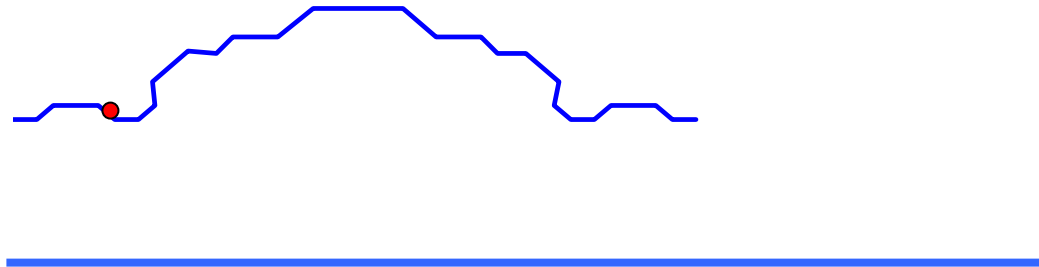


**Length of Antenna = 8.0 cm**

**Load Locations : Load1 Element 04 L1 = 13.42188 nH C1 = 0.1457 pF**

**Load2 Element 04 L2 = 16.15625 nH C2 = 0.7644 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.1432</b> |
| <b>1575 MHz</b>  | <b>1.0470</b> |



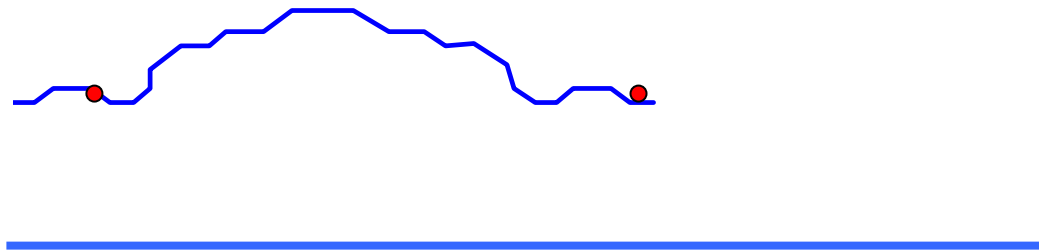


**Length of Antenna = 7.5 cm**

**Load Locations : Load1 Element 04 L1 = 13.50000 nH C1 = 0.3671 pF**

**Load2 Element 25 L2 = 18.35938 nH C2 = 0.8910 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.0453</b> |
| <b>1575 MHz</b>  | <b>1.1628</b> |

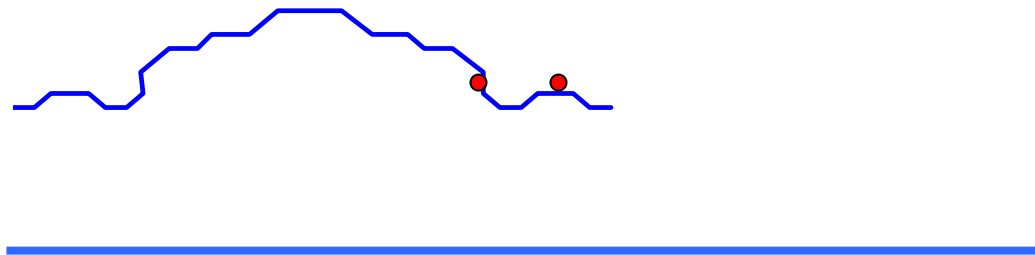


**Length of Antenna = 7.0 cm**

**Load Locations : Load1 Element 23 L1 = 17.95312 nH C1 = 0.8119 pF**

**Load2 Element 19 L2 = 19.50000 nH C2 = 0.1017 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.3338</b> |
| <b>1575 MHz</b>  | <b>1.1024</b> |

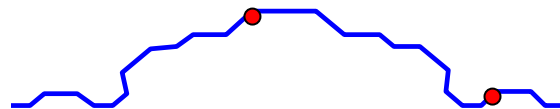


**Length of Antenna = 6.5 cm**

**Load Locations : Load1 Element 22 L1 = 16.10938 nH C1 = 0.8962 pF**

**Load2 Element 12 L2 = 13.01562 nH C2 = 0.2107 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.6677</b> |
| <b>1575 MHz</b>  | <b>1.4982</b> |

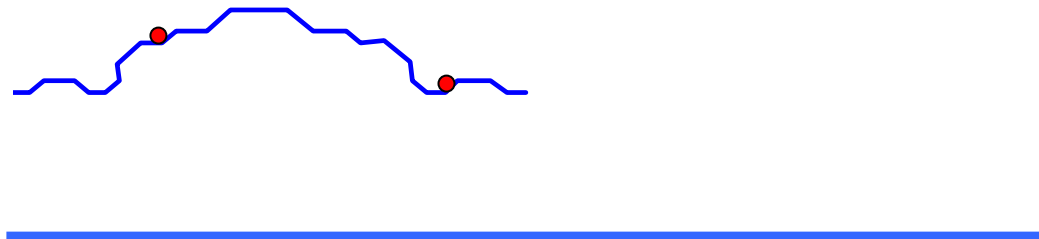


**Length of Antenna = 6.0 cm**

**Load Locations : Load1 Element 22 L1 = 16.35938 nH C1 = 0.8962 pF**

**Load2 Element 09 L2 = 16.12500 nH C2 = 0.3214 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.6956</b> |
| <b>1575 MHz</b>  | <b>1.4979</b> |

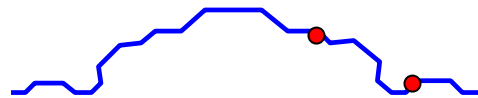


**Length of Antenna = 5.5 cm**

**Load Locations : Load1 Element 22 L1 = 15.86914 nH C1 = 0.9308 pF**

**Load2 Element 16 L2 = 12.51172 nH C2 = 0.5371 pF**

| <b>Frequency</b> | <b>VSWR</b>   |
|------------------|---------------|
| <b>1225 MHz</b>  | <b>1.9413</b> |
| <b>1575 MHz</b>  | <b>1.7861</b> |



# A New Challenge ...

**Current technology to manufacture the FEA requires the smallest possible  $L$  &  $C$  values in the loads**



**Less freedom and therefore potentially more difficulty for the GA to meet the design objectives**

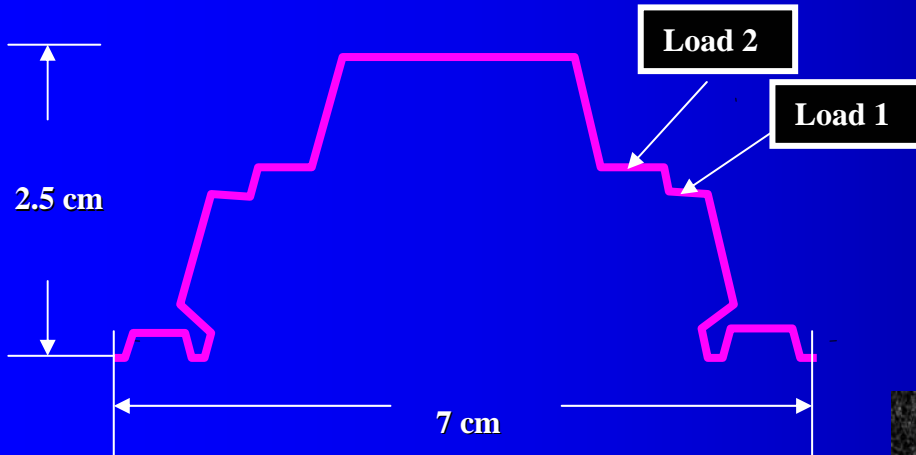
# Design Accomplishments

- **Able to achieved the same design goal with smaller more practical  $L$  and  $C$  values by constraining parameters of the GA**

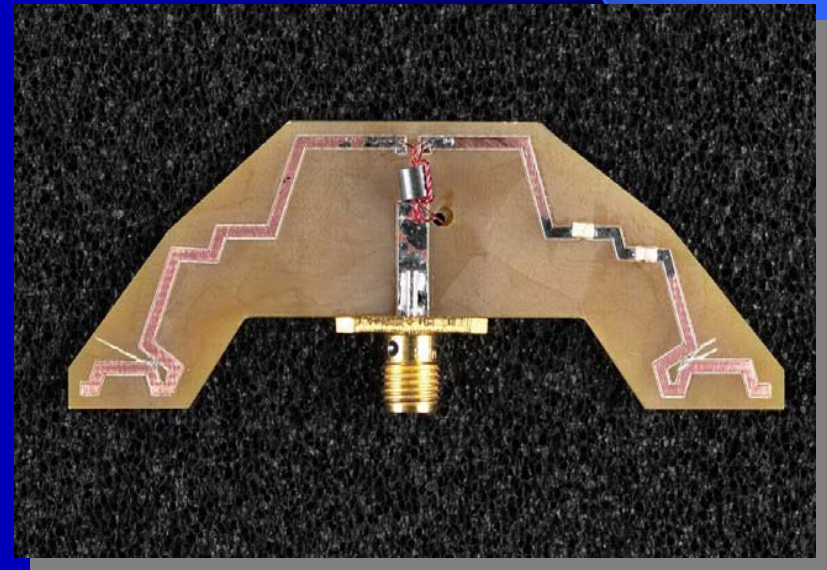
## **Developed New Design Schemes**

- **Matching network only – an alternative design approach to accomplish the same design objective**
- **Combination of a matching network together with loads to realize a more flexible design approach**

# FEA with Small Components (Design Example)



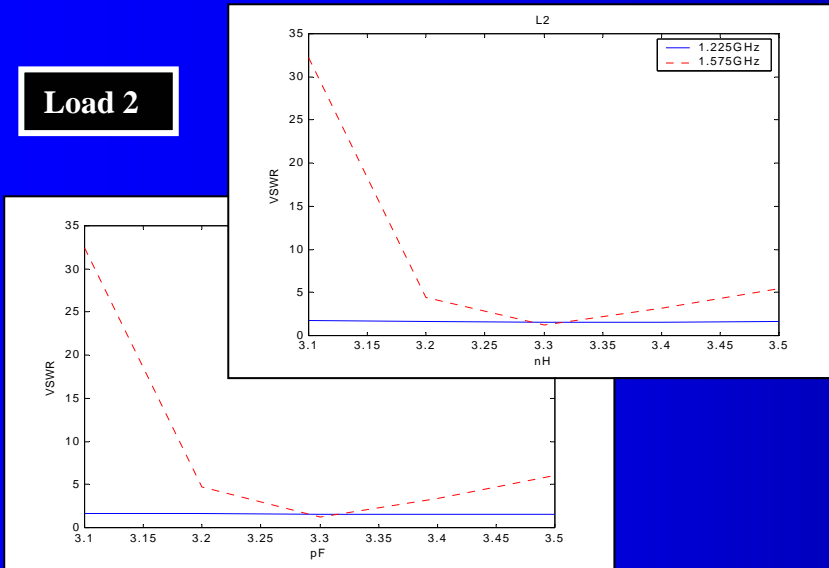
Load 1:  $C1 = 3.0 \text{ pF}$   $L1 = 2.7 \text{ nH}$   
Load 2:  $C2 = 3.3 \text{ pF}$   $L2 = 3.3 \text{ nH}$



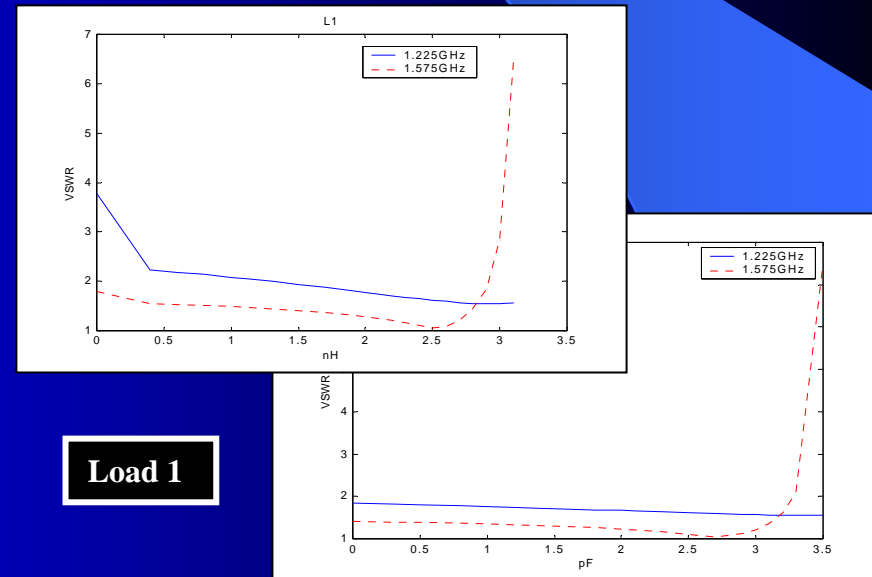


# Load Component Sensitivity Study

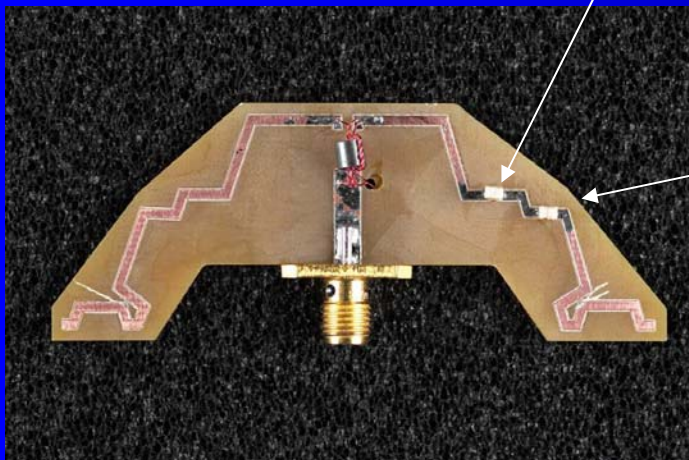
Load 2



- Input VSWR goal is 2:1
- Resonate frequency of the loads
  - Load 1: 1.8 GHz
  - Load 2: 1.5 GHz (target 1.575 GHz)



Load 1

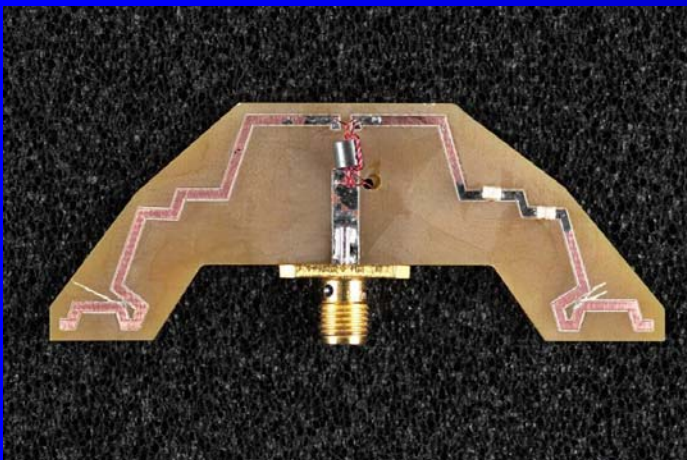
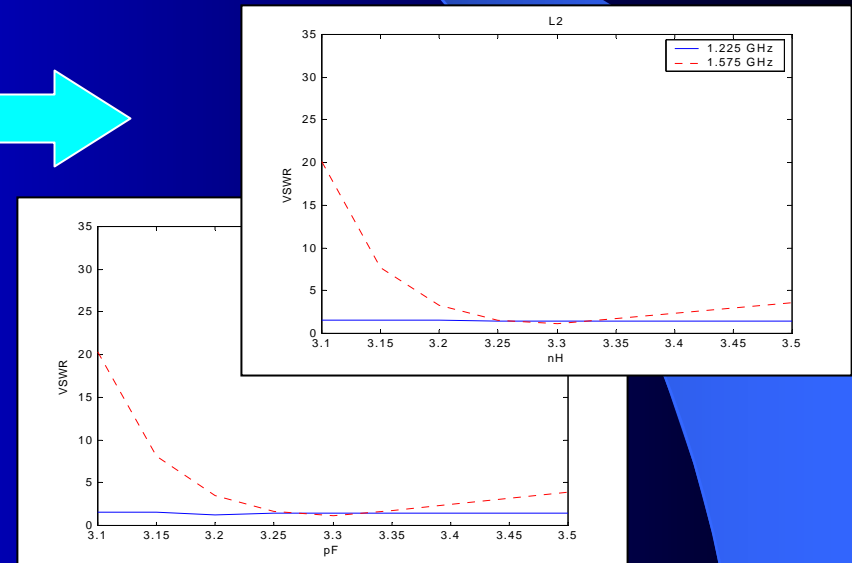
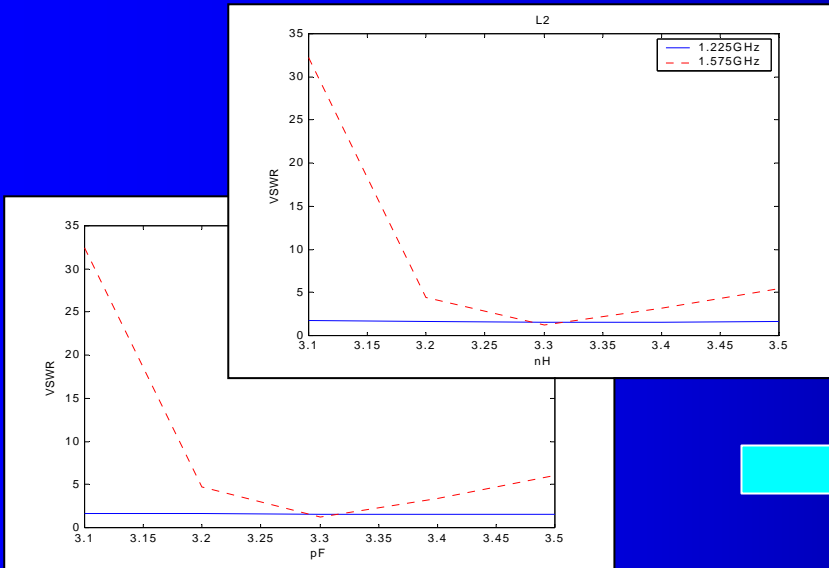


# Reduced Load Component Sensitivity Study

- High sensitivity requires very tight tolerances on the loads for proper antenna performance
- Values can change with changes in
  - material lots
  - manufacturing runs
  - temperature
- An effort was made to reduce the sensitivity of the load component values by optimizing for frequencies over a 26 MHz bandwidth centered at 1.575 GHz

# Reduced Load Component Sensitivity Study

Sensitivity is minimized as  
shown for load 2



# **An Alternative Design Approach Using A Matching Network**

**The objective of this effort was to achieve similar results to the loaded fractal antenna, but by using a reactive matching network in place of the loads on the wire.**

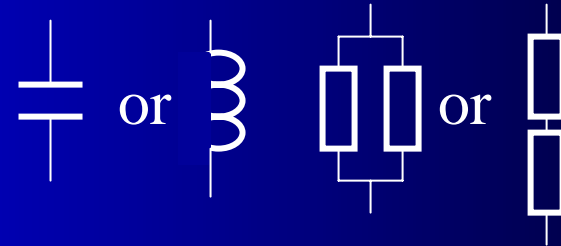
# Matching Network - continued

**The GA Simultaneously  
Optimizes**

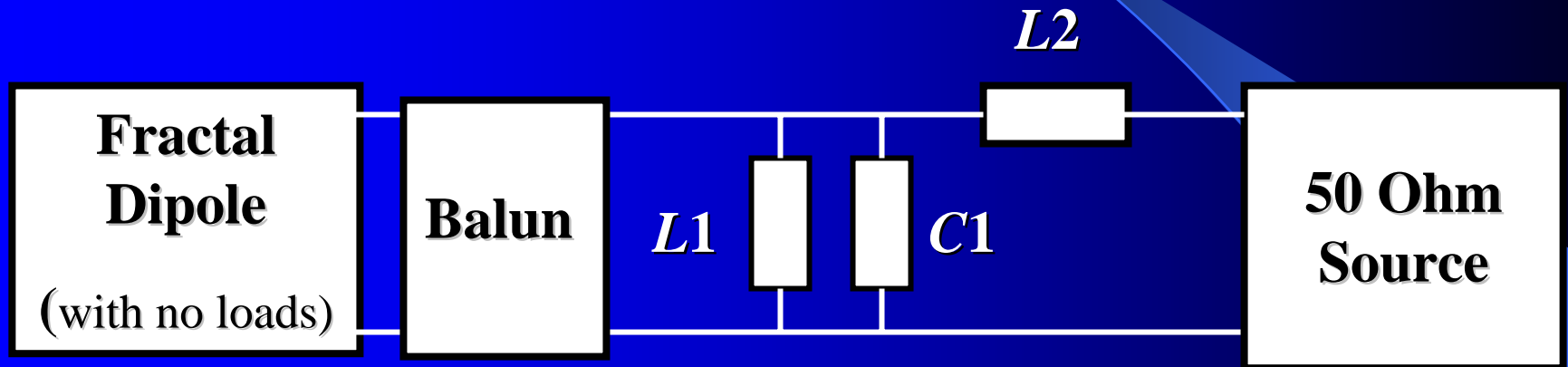
**Fractal  
Antenna  
Geometry**



**Matching  
Network  
Topology &  
Component  
Values**



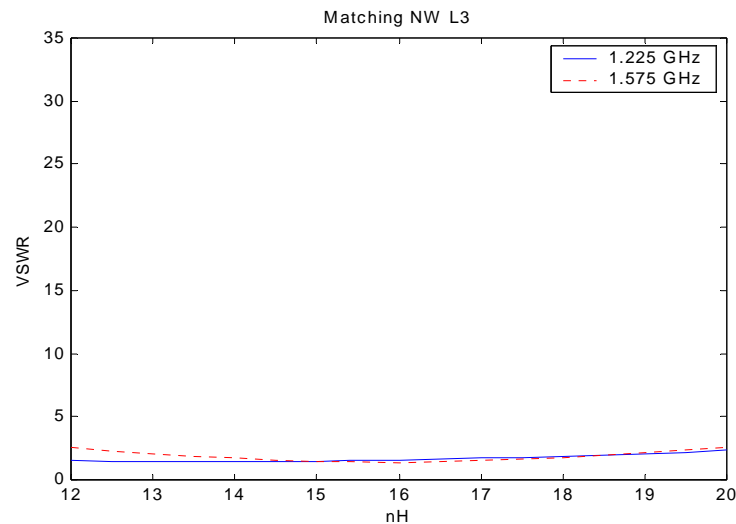
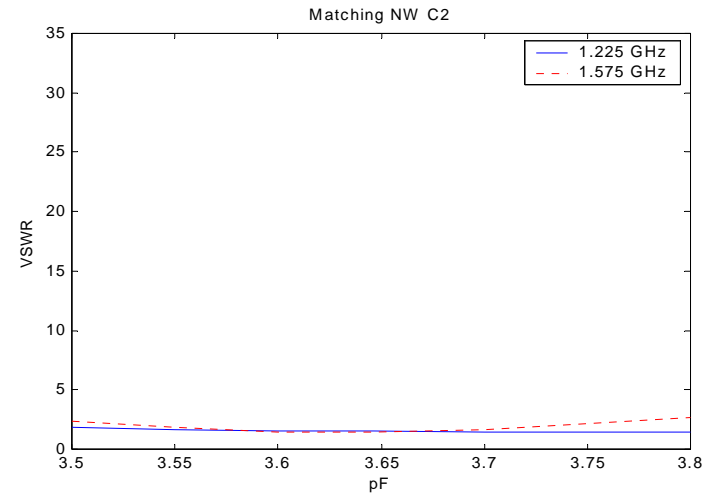
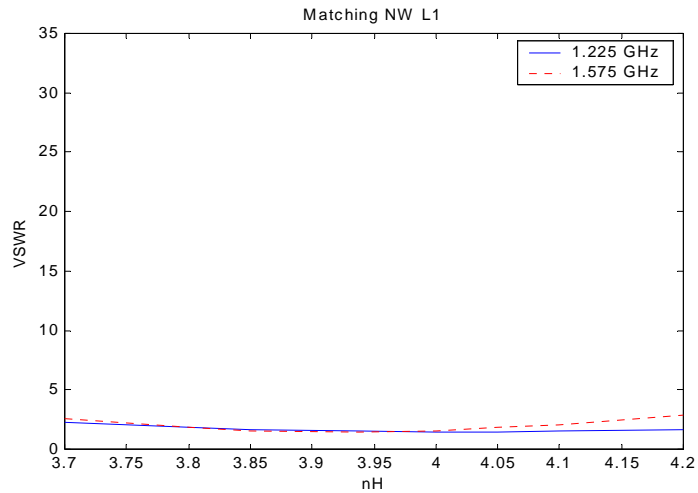
# Matching Network – continued (Example)



← **GA Selected  
Matching Network** →

$L1=3.938$  nH    $C1=3.646$  pF    $L2=15.407$  nH

# Sensitivity Study of Matching Network



# Matching Network - continued

## Advantages:

- The fractal antennas could be built (without loads) and measured impedances can be used in the GA to determine the optimal topology and component values for a matching network.
- Circumvents any problems that might be attributed to difference in wire thicknesses or shapes between simulated and measured fractal antennas.
- Allows even greater flexibility in the design of unloaded as well as loaded fractal antennas.



# Matching Network - continued

## Disadvantages:

- Optimizing with matching network alone requires larger component values

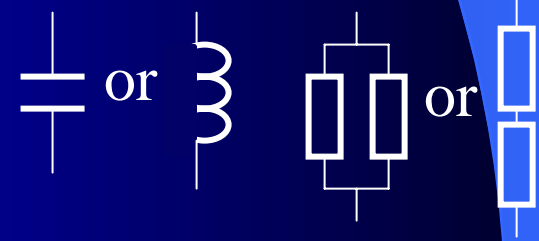
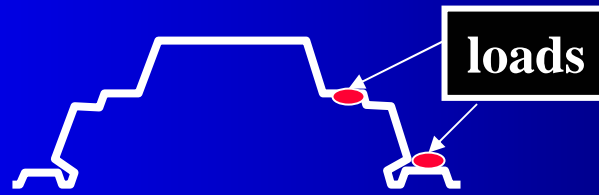
# Matching Network & Loads

**The GA Simultaneously  
Optimizes**

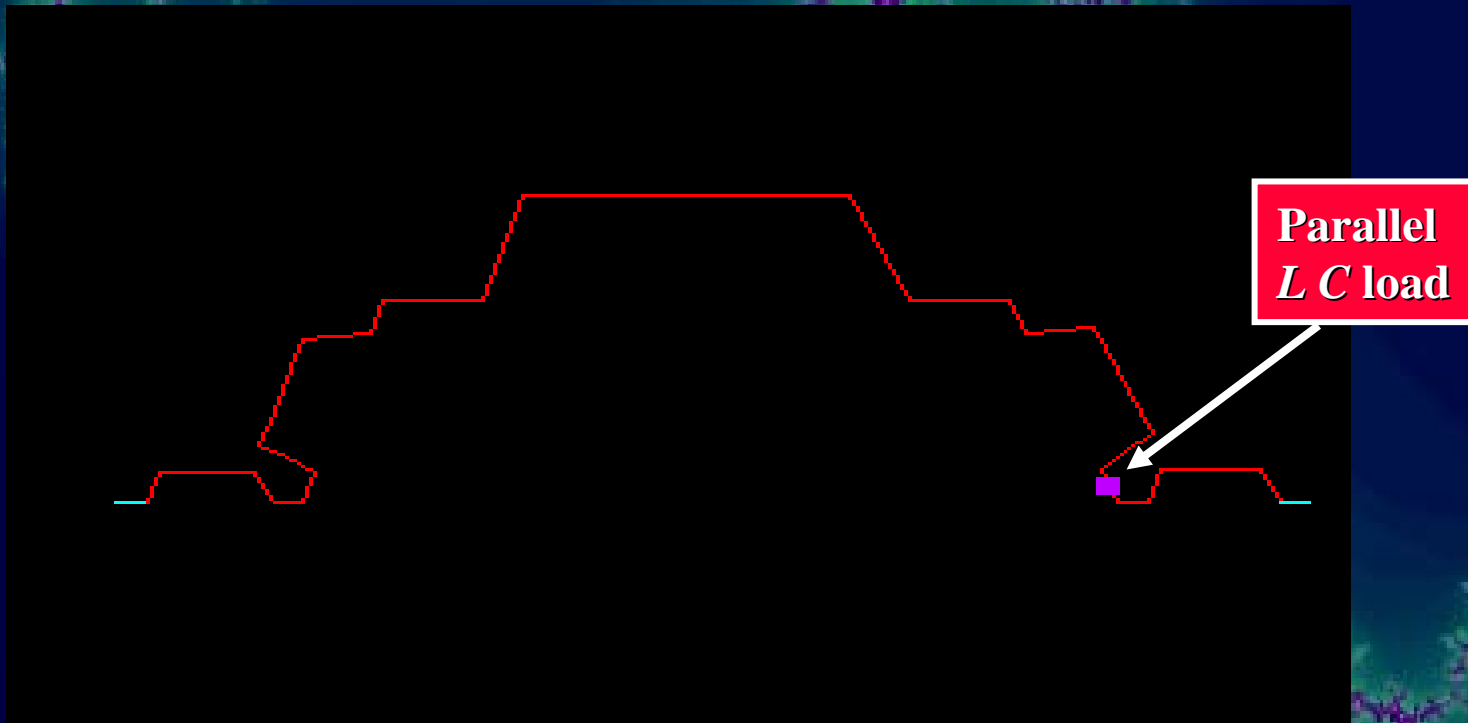
**Fractal  
Antenna  
Geometry**

**Load Locations  
&  
Component  
Values**

**Matching  
Network  
Topology &  
Component  
Values**



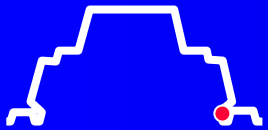
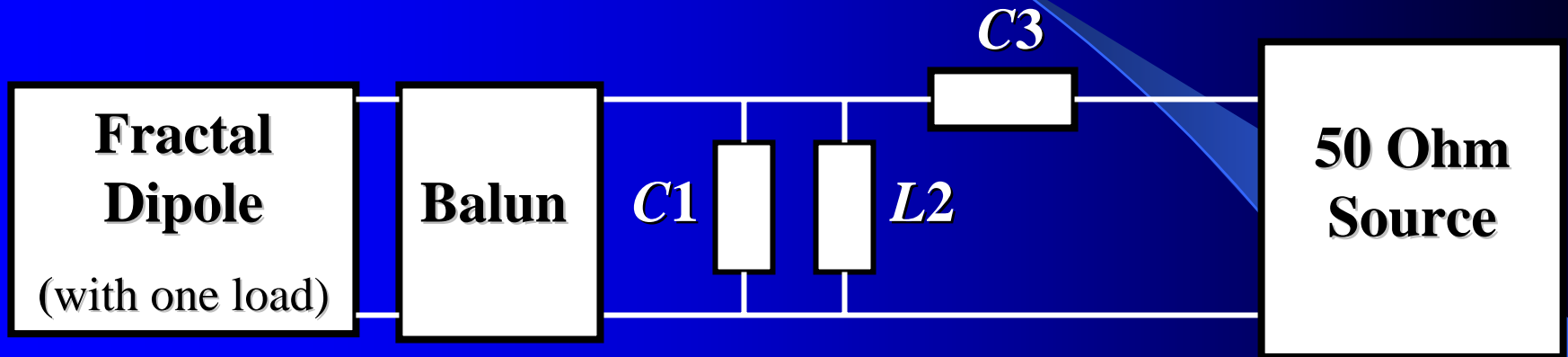
# Matching Network & Loads (Example)



Load values:  $L = 3.3 \text{ nH}$   $C = 3.3 \text{ pF}$

# Matching Network & Loads

(Example - continued)



← **GA Selected Matching Network** →

$$C1 = 3.133 \text{ pF}$$

$$L2 = 3.184 \text{ nH}$$

$$C3 = 1.070 \text{ pF}$$

# Matching Network & Loads

(Example - continued)

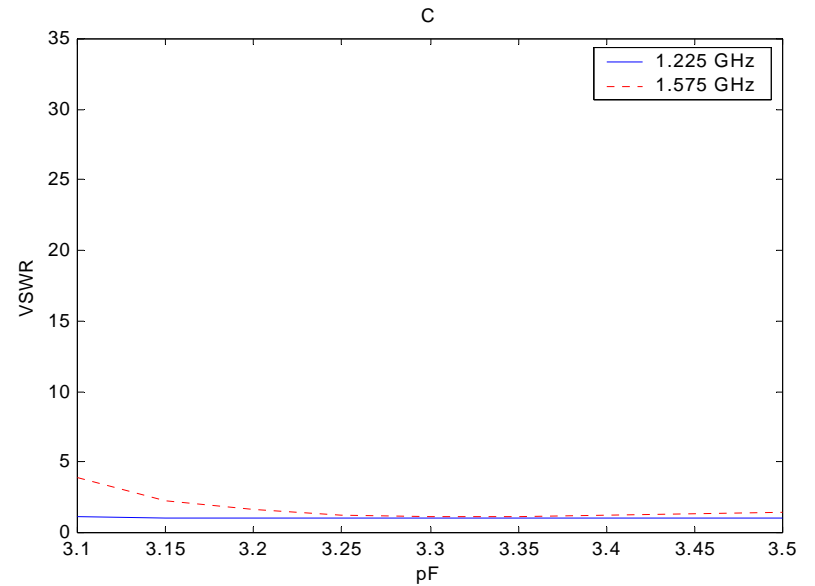
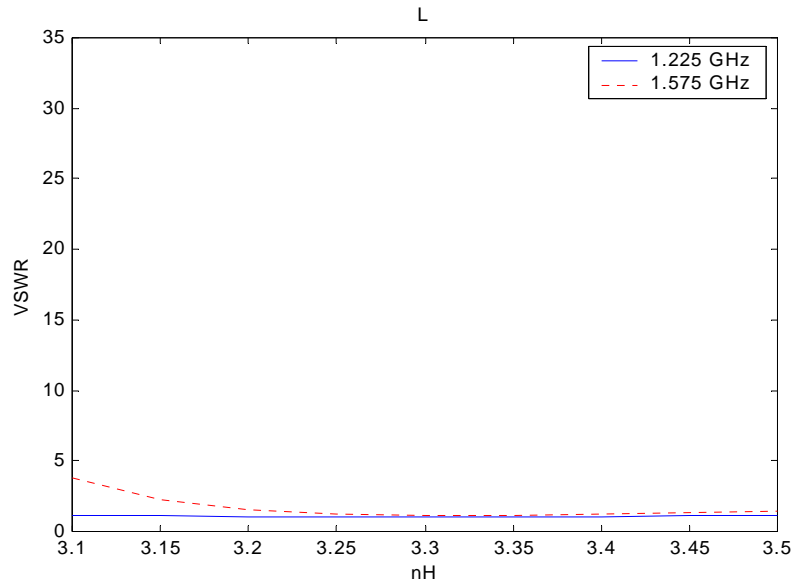
Load Values:  $L = 3.3 \text{ nH}$        $C = 3.3 \text{ pF}$

Matching Network Values:

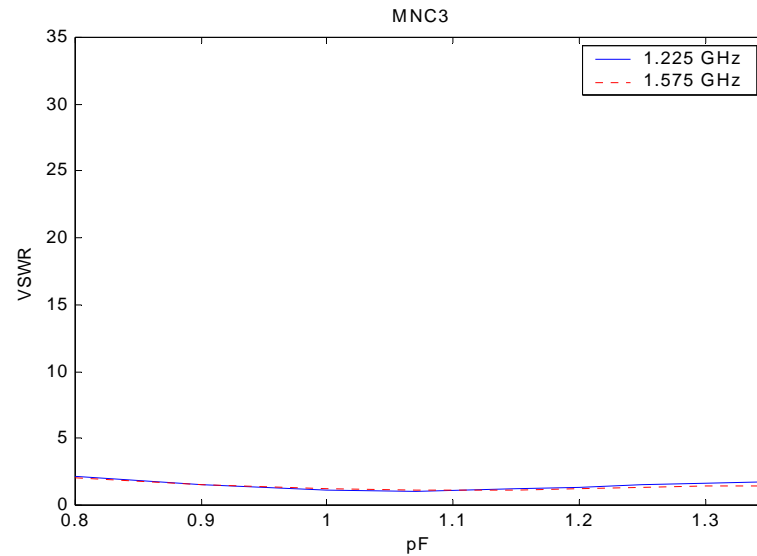
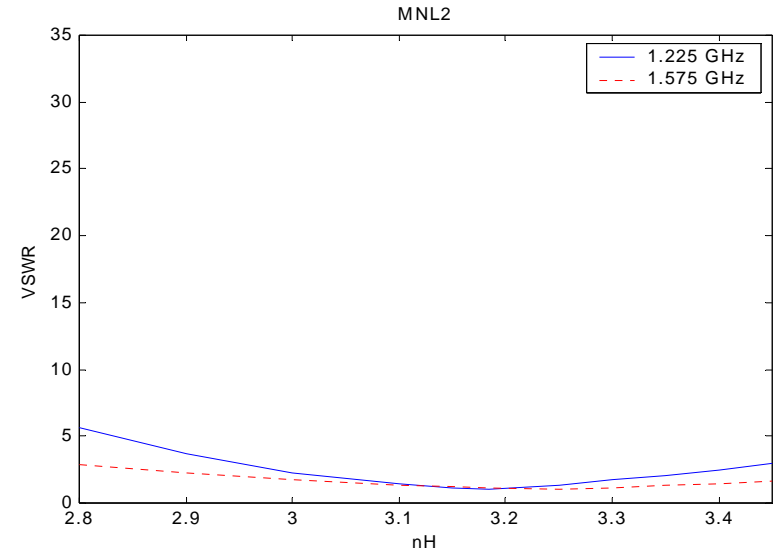
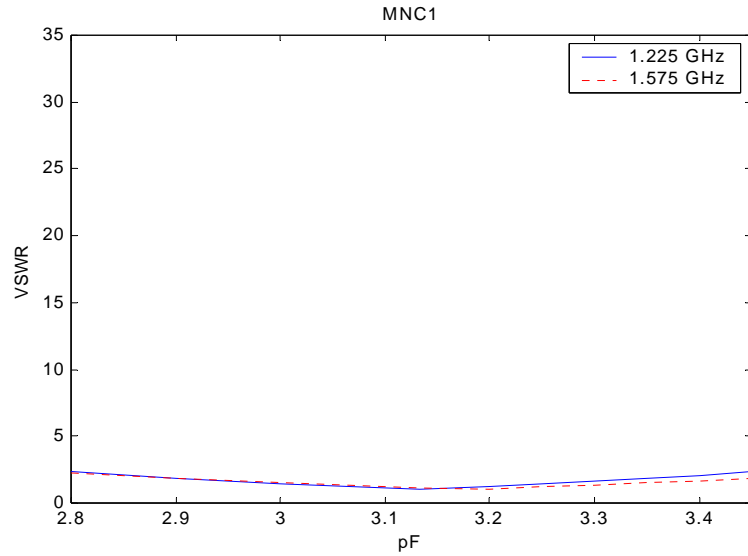
$C1 = 3.133 \text{ pF}$      $L2 = 3.184 \text{ nH}$      $C3 = 1.070 \text{ pF}$

| Frequency<br>(MHz) | VSWR (goal 2:1) |
|--------------------|-----------------|
| 1225.0             | 1.061111        |
| 1562.0             | 1.676220        |
| 1565.0             | 1.510146        |
| 1575.0             | 1.106058        |
| 1580.0             | 1.147770        |
| 1585.0             | 1.332390        |
| 1588.0             | 1.463225        |

# Load Sensitivity Study



# Matching Network Sensitivity Study



# Summary

**A powerful new tool has been developed for the design of miniature multi-band antennas with practical component values for loads and matching networks. The new technique allows the GA to simultaneously optimize:**

- **The fractal antenna geometry**
- **Load component values and locations**
- **Matching network topology and component values**